Date of review	November 2021
Next review	November 2022
Approval by	Principal
Review frequency	Annually



# Brockington College GCSE Internal Appeals Procedures

#### 1. Introduction

- 1.1 Brockington College, a Church of England Academy, has at its heart a distinctive Christian ethos. Based on our Christian foundation and values we seek to promote a culture of developing every person and therefore every school policy is written from this perspective, with a commitment to learning and maturing in the context of communal and individual development.
- **1.2** We lay particular stress on the Christian values of compassion, forgiveness, justice, koinonia, learning, perseverance, respect and wisdom for individuals and across the school community.

### 2. Appeals against internal assessment decisions (centre assessed marks)

- 2.1 Certain GCSE and other qualifications contain components of non-examination assessment (or units of coursework) which are internally assessed (marked) by Brockington College and internally standardised. The marks awarded (the internal assessment decisions) which contribute to the final grade of the qualification are then submitted by the deadline set by the awarding body for external moderation.
- 2.2 This procedure confirms Brockington College's compliance with JCQ's General Regulations for Approved Centres 2020-2021, section 5.7 that the centre will have in place and be available for inspection purposes "a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates" and that the centre "must inform candidates of their centre assessed marks. A candidate is allowed to request a review of the centre's marking before marks are submitted to the awarding body."

#### 3. Deadlines for the submission of marks

- **3.1** Brockington College is committed to ensuring that whenever its staff mark candidates' work this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.
- 3.2 Brockington College ensures that all centre staff follow a robust Non-Examination Assessment Policy. This policies detail all procedures relating to controlled or non-examination assessments, including the marking and quality assurance / internal standardisation processes which relevant teaching staff are required to follow.
- 3.3 Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, and who have been trained in this activity. Brockington College is committed to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where a number of subject teachers are involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking.

- 3.4 On being informed of their centre assessed marks, if a candidate believes that the above procedures were not followed in relation to the marking of his/her work, or that the assessor has not properly applied the marking standards to his/her marking, then he/she may make use of the appeals procedure below to consider whether to request a review of the centre's marking.
- 3.4.1 Brockington College will ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body. Marks should be provided to candidates at least 10 working days before they are due to be submitted to the exams officer.
- 3.4.2 Brockington College will inform candidates that they will need to explain on what grounds they wish to request a review of an internally assessed mark as a review will only focus on the quality of their work in meeting the published assessment criteria.
- 3.4.3 Brockington College will inform candidates that they may request copies of materials (for example, as a minimum, a copy their marked assessment material (work) and the mark scheme or assessment criteria plus additional materials which may vary from subject to subject) to assist them in considering whether to request a review of the centre's marking of the assessment
- 3.4.5 Brockington College will, having received a request for copies of materials, promptly make them available to the candidate within two working days.
- 3.4.6 Brockington College will inform candidates they will not be allowed access to original assessment material unless supervised.
- 3.4.7 Brockington College will provide candidates with sufficient time in order to allow them to review copies of materials and reach a decision, informing candidates that if their decision is to request a review they will need to explain what they believe the issue to be.
- 3.4.8 Requests for reviews of marking **must** be made in writing within two working days of receiving copies of the requested materials by completing the internal appeals form.
- 3.4.9 Brockington College will allow two working days for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline for the submission of marks.
- 3.4.10 Brockington College will ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review.
- 3.4.11 Brockington College will instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre.
- 3.4.12 The candidate will be informed in writing of the outcome of the review of the centre's marking.
- 3.5 The outcome of the review of the centre's marking will be made known to the head of centre who will have the final decision if there is any disagreement on the mark to be submitted to the awarding body. A written record will be kept and made available to the awarding body upon request. The awarding body will be informed if the centre does not accept the outcome of a review. The moderation process carried out by the awarding bodies may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional.

- 4. Appeals against the centre's decision not to support a clerical check, a review of marking, a review of moderation or an appeal
- 4.1 This procedure confirms Brockington College's compliance with JCQ's General Regulations for Approved Centres 2020-2021, section 5.13 that the centre has in place "a written internal appeals procedure to manage disputes when a candidate disagrees with a centre decision not to support a clerical check, a review of marking, a review of moderation or an appeal..."
- **4.2** Following the issue of results, awarding bodies make post-results services available. Full details of these services, internal deadlines for requesting a service and fees charged are provided by the exams officer.
- 4.3 Candidates are also made aware of the arrangements for post-results services and the availability of senior members of centre staff immediately after the publication of results before they sit any exams and the accessibility of senior members of centre staff immediately after the publication of results by providing details in the exam handbook and information published on the school website.
- 4.4 If the centre or a candidate (or his/her parent/carer) has a concern and believes a result may not be accurate, post-results services may be considered.
- **4.5** Review of Results (RoRs) offers three services:
  - service 1 clerical re-check
  - service 2 review of marking
  - service 3 review of moderation (this service is not available to an individual candidate)
- **4.6** Access to scripts
  - copies of scripts to support reviews of marking
  - copies of scripts to support teaching and learning
- 4.7 Where a concern is expressed that a particular result may not be accurate, the centre will look at the marks awarded for each component part of the qualification alongside any mark schemes, relevant result reports, grade boundary information etc. when made available by the awarding body to determine if the centre supports any concerns.
- **4.8** For written components that contributed to the final result, the centre will:
  - consider accessing the script by
    - (where the service is made available by the awarding body) requesting a priority copy of the candidate's script to support a review of marking by the awarding body deadline or
    - (where the option is made available by the awarding body) viewing the candidate's marked script online to consider if requesting a review of marking is appropriate
  - collect informed written consent/permission from the candidate to access his/her script
  - on access to the script, consider if it is felt that the agreed mark scheme has been applied correctly in the original marking and if the centre considers there are any errors in the marking
  - support a request for the appropriate RoR service (clerical re-check or review of marking) if any error is identified
  - collect informed written consent from the candidate to request the RoR service before the request is submitted.
- 4.9 Written candidate consent (informed consent via candidate email is acceptable) is required in all cases before a request for an RoR service 1 or 2 is submitted to the awarding body as with these services candidates' marks and subject grades may be lowered. Candidate consent can only be collected after the publication of results.
- **4.10** If a concern is raised about a particular examination result, the exams officer, teaching staff and head of centre will investigate the feasibility of requesting a review at the centre's expense.

- **4.11** Where Brockington College does not uphold a request from a candidate, the candidate may pay the appropriate fee and a request will be made to the awarding body on the candidate's behalf.
- 4.12 If the candidate (or his/her parent/carer) believes there are grounds to appeal against the centre's decision not to support a review, an internal appeal can be submitted to the centre by completing the internal appeals form at least one week prior to the internal deadline for submitting a request for a review of results.
- **4.13** The appellant will be informed of the outcome of his/her appeal.
- **4.14** Following the RoR outcome, an external appeals process is available if the head of centre remains dissatisfied with the outcome and believes there are grounds for appeal. The JCQ publications *Post-Results Services* and *JCQ Appeals Booklet* (*A guide to the awarding bodies' appeals processes*) will be consulted to determine the acceptable grounds for a preliminary appeal.
- 4.15 Where the head of centre is satisfied after receiving the RoR outcome, but the candidate (or his/her parent/carer) believes there are grounds for a preliminary appeal to the awarding body, a further internal appeal may be made to the head of centre. Following this, the head of centre's decision as to whether to proceed with a preliminary appeal will be based upon the acceptable grounds as detailed in the JCQ Appeals Booklet. Candidates or parents/carers are not permitted to make direct representations to an awarding body.
- 4.16 The internal appeals form should be completed and submitted to the centre within 10 calendar days of the notification of the outcome of the RoR. Subject to the head of centre's decision, this will allow the centre to process the preliminary appeal and submit to the awarding body within the required 30 calendar days of receiving the outcome of the review of results process. Awarding body fees which may be charged for the preliminary appeal must be paid to the centre by the appellant before the preliminary appeal is submitted to the awarding body (fees are available from the exams officer). If the appeal is upheld by the awarding body, this fee will be refunded by the awarding body and repaid to the appellant by the centre.

Please tick to indic  Appeal Appeal	e completed in all cases to lod ate what the appeal is against: against an internal assessmen	t decision and/or requ	est for a review of marking. I check, a review of marking, a
Name of appellant		Candidate name if different to appellant	
Awarding body		Exam paper code	
Subject		Exam paper title	
Ticase state the gi	ounds for your appeal below:		
marking.	eal is against an internal asse ue on an additional page if this		n to request a review of the centre's ted electronically or overleaf if hard
Appealant signatu	ıre:		Date of signature:

This form must be signed, dated and returned to the exams officer on behalf of the head of centre, to the timescale indicated in the internal appeals procedure.

## Complaints and appeals log

On receipt, all appeals will be assigned a reference number and logged.

The outcome of any reviews of the centre's marking will be made known to the head of centre and will be logged as a complaint. A written record will be kept and made available to the awarding body upon request. Should the review of the centre's marking bring any irregularity in procedures to light, the awarding body will be informed immediately.

Ref no.	Date received	Complaint or appeal	Outcome	Outcome date

# Further guidance to inform and implement appeals procedures JCQ publications

- General Regulations for Approved Centres https://www.jcq.org.uk/exams-office/general-regulations
- Post-Results Services
   https://www.jcq.org.uk/exams-office/post-results-services
- JCQ Appeals Booklet https://www.jcq.org.uk/exams-office/appeals
- Notice to Centres Reviews of marking (centre assessed marks)
   https://www.jcq.org.uk/exams-office/controlled-assessments
   https://www.jcq.org.uk/exams-office/coursework
   https://www.jcq.org.uk/exams-office/non-examination-assessments

#### Ofqual publications

- GCSE (9 to 1) qualification-level conditions and requirements https://www.gov.uk/government/publications/gcse-9-to-1-qualification-level-conditions
- GCSE (A\* to G) qualification-level conditions and requirements <a href="https://www.gov.uk/government/publications/gcse-a-to-g-qualification-level-conditions-and-requirements">https://www.gov.uk/government/publications/gcse-a-to-g-qualification-level-conditions-and-requirements</a>
- GCE qualification-level conditions and requirements https://www.gov.uk/government/publications/gce-qualification-level-conditions-and-requirements
- Pre-reform GCE qualification-level conditions and requirements
   <a href="https://www.gov.uk/government/publications/gce-qualification-level-conditions-for-pre-reform-qualifications">https://www.gov.uk/government/publications/gce-qualification-level-conditions-for-pre-reform-qualifications</a>

Signature:	Date:
Printed Name:	Position: